FORM PTO	1-1390	U.S. DEPARTMENT OF COMMERCE PATENT AND TRANSMITTAL LETTER TO THE UNI DESIGNATED/ELECTED OFFICE (D CONCERNING A FILING UNDER 35	ATTORNEY'S DOCKET NUMBER; 99 P 5536 U.S. APPLN. NO. (If known, see 37 CFR 1.5)						
L.		NAL APPLICATION NO.: 01935	INTERNATIONAL FILING DATE: 14 June 2000 (14.06.00)	PRIORITY PATE STAIMED: 23 June 1999 (23.06.99)					
TITLE OF INVENTION: METHOD FOR CAPPING AN ELECTRIC LAMP									
APPLICANT(S) FOR DO/EO/US: Bernd PILHOEFER, et al.									
Applica	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other informations								
1.	х	This is a FIRST submission of items concerning a filing							
2.		This is a SECOND or SUBSEQUENT submission of item	ns concerning a filing under 35 U.S.C. 371.						
3.	Х	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).							
4.		A proper Demand for International Preliminary Examination	in was made by the 19th month from the earli	est claimed priority date.					
5.	Х	A copy of the International Application as filed (35 U.S.C	C. 371(c)(2))						
		a. X is transmitted herewith (required only if not	transmitted by the International Bureau).						
		b. X has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)							
		is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	Х	A translation of the International Application into English	(35 U.S.C. 371(c)(2)).						
7 .	х	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).							
		a. are transmitted herewith (required only if not transmitted by the International Bureau).							
kedi req		b. have been transmitted by the International Bureau.							
		c. have not been made; however, the time limit for making such amendments has NOT expired.							
		d. X have not been made and will not be made.							
8.		A translation of the amendments to the claims under PC	T Article 19 (35 U.S.C. 371(c)(3)).						
9.	х	An eath or declaration of the inventor(s) (35 U.S.C. 371	(c)(4)).						
10.		A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
1	Item 11. to 16. below concern document(s) or information included:								
11.	Х	An Information Disclosure Statement under 37 CFR 1.97	7 and 1.98.						
12.	Х	An assignment document for recording. A separate cover	r sheet in compliance with 37 CFR 3.28 and 3	.31 is included.					
13.	X	A FIRST preliminary amendment.							
		A SECOND or SUBSEQUENT preliminary amendment.							
14.		A substitute specification.							
15.	ļ	A change of power of attorney and/or address letter.							
16.	X	Other items or information:							
Application Data Sheet									

U.S. APPLICATION NO. (if known, see 37 CFR 15) OFFICE OF THE STATE OF					ATTORNEY'S DOCKET ND. 99 P 5536			
					CALCULATIONS PTO USE ONLY			
17. X The follo	wing fees are submitted:							
BASIC NATIONAL FEI	E (37 CFR 1.492(a)(1)-(5)):							
(37 CFR1.445(a)(2)) pai	eliminary examination fee (37 CF id to USPTO and International S							
	y examination fee (37 CFR 1.482 EPO or JPO							
fee (37 CFR 1.445(a)(2	y examination fee (37 CFR 1.482 2)) paid to USPTO							
International preliminary provisions of PCT Artic	y examination fee (37 CFR 1.482 cle 33(1)-(4)	2) paid to USPTO but all cl	aims did not satisfy	•				
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	E	ENTER APPROPRIATE BA	SIC FEE AMOUNT =	\$	860			
Surcharge of \$130.00 claimed priority date (3	for furnishing the oath or declar 7 CFR 1.492(e)).	ration later than months fr	om the earliest	\$				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$				
Total claims	11 - 20 =	0	X \$18.00	\$				
Independent claims	2 · 3 =	00	X \$80.00	\$				
MULTIPLE DEPENDENT	CLAIMS(S) (if applicable)		+ \$270.00	\$				
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GFR 1.27.	Reduction of ½ for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 FFR 1.27. +							
	 		SUBTOTAL =	\$	860			
Processing fee of \$130 for furnishing the English translation later than months from the earliest splaimed priority date (37 CFR1.49(f)).								
		TOT	AL NATIONAL FEE =	\$	860			
	nclosed assignment (37 CFR1.21 t (37 CFR 3.28, 3.31). \$40.00 p		be accompanied by an	\$	40			
		TOTAL	L FEES ENCLOSED =	\$	900			
		Amount to be refunded:						
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a. X A check in the amount of \$ 900 to cover the above fees is enclosed.								
Please charge my Deposit Account No. 25-0120 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120. A duplicate copy of this sheet is enclosed.								
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Osram Sylvania Inc. January 31, 2001					Perkins			
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09/744947 526 Rec'd FCT/PTO 31 JAN 2001

Docket 99 P 5536

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernd PILHOEFER Ruediger DIEKMANN International Application No. PCT/DE00/01935

Serial No (Unknown)

International Filing Date: 14 June 2000

Filed herewith

METHOD FOR CAPPING AN ELECTRIC LAMP

PRELIMINARY AMENDMENT

Assistant Commissioner For Patents

Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee please amend the above-identified application as follows:

IN THE SPECIFICATION

Insert the enclosed Abstract as new page 11.

IN THE CLAIMS

Cancel Claim 10.

Respectfully submitted,

YOUNG & THOMPSON

Thomas W. Perkins

Attorney for Applicants Registration No. 33,027 745 South 23rd Street

Arlington, VA 22202

Telephone: 703/521-2297

January 31, 2001

Abstract

invention relates to a method for capping an electric lamp which has a lamp cap with at least one thermoplastic synthetic cap part (21). In particular, the invention relates to a method for cementless capping of a compact fluorescent lamp. According to the invention, the lamp vessel (1) is sealed with the aid of the thermoplastic synthetic cap part (21) by heating sections (101, 102) of the lamp vessel (1) above the softening point and preferably above the melting point the thermoplastic, and introducing them (210)constricted cutouts the thermoplastic in synthetic cap part (21).The heated lamp vessel sections (101, 102) soften or melt and displace the synthetic material in the region of the constricted cutouts (210). The lamp vessel (1) is embedded in the set synthetic in a self-closed fashion after the polymer melt has been allowed to set.

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Method for capping an electric lamp

The invention relates to a method for capping an electric lamp in accordance with the preamble of Patent Claim 1.

I. Prior art 10

for capping electric lamp a method an European disclosed, for example, in specification EP 0 455 884 B1. This specification describes the capping of a high-pressure discharge lamp capped at one end, in the case of which a tubular extension of the discharge vessel is sealed in a thermoplastic synthetic cap part with the aid of a means capable of high-frequency-induced heating.

II. Summary of the invention

It is the object of the invention to specify a method electric which capping an lamp, simplified by comparison with the prior art.

This object is achieved according to the invention by means of the characterizing features of Patent Claim 1. Particularly advantageous designs of the invention are described in the subclaims.

The capping method according to the invention can be applied to electric lamps which have a lamp cap provided with a thermoplastic synthetic cap part, and at least one lamp vessel which is connected to the thermoplastic synthetic cap part by a fused bond. According to the invention, this fused bond is produced by heating sections or parts of the at least one lamp vessel to a point which is greater than or equal to the

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softening point and, advantageously, greater than or equal to the melting point, of the thermoplastic synthetic cap part material, and by inserting the heated lamp vessel sections into at least one holder of the synthetic cap part, the dimensions of which holder are smaller than the corresponding external dimensions of the lamp vessel sections to be fastened in the holder. When the heated lamp vessel sections are being inserted into the at least one holder, the synthetic cap part material is softened or melts by contact with the heated lamp vessel sections, and the softened the polymer melt is displaced by plastic or penetrating lamp vessel sections. In this way, initially too narrow holder is widened and matched to the external dimensions of the lamp vessel sections penetrating into it. After the softened plastic or polymer melt has been allow to set, the at least one lamp vessel is surrounded in a self-closed fashion by the set synthetic material. The set synthetic material advantageously forms a ring-shaped collar surrounding the at least one lamp vessel in a self-closed fashion. ring-shaped collar enlarges the contact between the at least one lamp vessel and the synthetic cap part and thereby increases the stability of the fused bond. In order for the production of the fused bond according to the invention to use up as little time as possible, the lamp vessel sections preferably heated to а point which is even substantially above the melting point of the thermoplastic synthetic cap part material, substantially below the melting point of the discharge vessel.

In order to reduce the risk of the occurrence of cracks
in the at least one lamp vessel during the sealing
method according to the invention, before the heated
lamp vessel sections are inserted into the at least one
holder, the synthetic cap part is advantageously
preheated to a point which is above room temperature

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and below the softening point of the synthetic cap part material. It is advantageous to accelerate the setting by cooling the sealing plastic preferably by means of an airflow. In order to increase the strength of the fused bond, the at least one lamp vessel is advantageously provided with at least one indentation, and the heated lamp vessel sections are inserted into the at least one holder in such a way that the at least one indentation is embedded in the set synthetic material in a self-closed fashion after the setting of the plastic. The at least one holder is advantageously designed as a depression or cutout in the thermoplastic synthetic cap material, and the at least one lamp vessel is of U-shaped design, the limbs of the at least one U-shaped lamp vessel being sealed in a depression or a cutout in the synthetic cap part.

The capping method according to the invention can be applied with particular advantage in the case fluorescent lamps which have a synthetic cap and a discharge vessel which comprises at least one U-shaped glass tube. Usually, with such fluorescent lamps, which are frequently also denoted as compact fluorescent lamps, the discharge vessel is fixed by means of a cement ring in a depression or a cutout in a cap-type synthetic cap part. Such a lamp is described, for example, in patent specification EP 0 452 743 B1. The application of the capping method according to the invention to the aforementioned fluorescent permits cement to be dispensed with. The fluorescent is therefore invention the according to distinguished by a lamp cap which has at least one thermoplastic synthetic cap part which has a permanent fused bond with the limbs of at least one U-shaped glass tube which is a constituent of the discharge vessel of the lamp. The at least one thermoplastic synthetic cap part is advantageously designed as a cap through which the limbs of the at least one U-shaped glass tube are led, and which has on the inside ring-

shaped collars which surround the limbs of the at least one U-shaped glass tube in a self-closed fashion. The cap advantageously closes off a lamp cap which is of pot-type design and in which a ballast is arranged for operating the fluorescent lamp.

III. Description of the preferred exemplary embodiment

The invention is explained in more detail below with 10 the aid of a preferred exemplary embodiment. In the drawing:

- Figure 1 shows a diagrammatic, partially sectioned side view of a preferred exemplary embodiment of a fluorescent lamp according to the invention,
- Figure 2 shows a diagrammatic and partially sectioned representation of a detail of the thermoplastic synthetic cap part and of the lamp vessel before the sealing process,
- Figure 3 shows a diagrammatic and partial representation of a detail of the thermoplastic synthetic cap part and of the lamp vessel after the sealing process, and
- Figure 4 shows a top view of a bushing through the thermoplastic synthetic cap part in accordance with a second exemplary embodiment of the invention.

The capping method according to the invention is described below with the aid of a fluorescent lamp, in particular with the aid of a compact fluorescent lamp. The application of the capping method according to the invention offers most advantages in conjunction with compact fluorescent lamps, but is not limited to this type of lamp. Figure 1 shows a fluorescent lamps

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according to the invention in which use has been made of the capping method according to the invention. This fluorescent lamp is a so-called compact fluorescent lamp which can be inserted into an E27 screw cap holder as a substitute for a general-lighting service lamp.

This fluorescent lamp has a discharge vessel 1 which comprises two U-shaped glass tubes 10, which connected to one another by a transverse web (not illustrated) and of which only one is visible in the side view of Figure 1. The discharge vessel 1 therefore has only one discharge space. Moreover, the fluorescent lamp has a synthetic cap 2 which comprises a pot-type lower part 20 and a cap 21. Arranged in the lower part 20 is a mounting plate 3 on which virtually all the components 4 of a ballast for operating the fluorescent part is lamp are fastened. The lower furthermore, with a metal E27 screw cap shell 22. Power is supplied to the ballast by means of two supply leads 23, 24 of which the first 23 is connected by a fuse 25 to the contact plate 26 of the screw cap, and the second is connected to the cap shell 22. The cap 21 is fastened on the lower part 21, and closes the cap 2. It 21 comprises the thermoplastic synthetic polybutylene terephthalate B 4235 GF30. This synthetic has a glass fibre component of approximately 30 per cent. It has a melting point of 225 degrees Celsius, and its softening point is at 210 degrees Celsius. The cap 21 has four cutouts 210 through which in each case one limb 101, 102 of a U-shaped glass tube 10 is led. The limbs 101, 102 have sealed ends 101a, and form a permanent fused bond with the cap 21. The limb 101 is provided with an exhaust tube 105 which serves to evacuate the discharge vessel 1. Located in the interior of the discharge vessel 1 are two lamp electrodes 5 which serve to generate a low-pressure gas discharge and which are connected in each case to the ballast by two lamp supply leads 6 projecting from the discharge vessel 1.

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A part of the cap 21 with one of the four cutouts 210 is illustrated in Figures 2 and 3. The capping method according to the invention is described in more detail below with the aid of these figures. Each cutout 210 of the cap 21 is designed as a circular opening with a diameter which is constricted in steps. On the outside of the cap 21, the diameter of the cutout 210 is slightly larger than the outside diameter of the limb 101 of the U-shaped glass tube 10. On the inside of the cap 21, the cutout 210 has a diameter which is smaller by approximately 0.6 mm than the outside diameter of the limb 101 of the U-shaped glass tube 10. This stepped constriction of the cutout 210 is produced by a ring-shaped bead 211 on the inside of the cap 21. The 104 situated indentations 103, 101 has two opposite one another.

In order for the prefabricated discharge vessel which is provided with the electrodes 5 and sealed in a gastight fashion, to be fixed in the cap 21, the cap 21 is preheated to a temperature of approximately 150 degrees Celsius, and the limbs 101, 102 of the U-shaped glass tubes 10 of the prefabricated discharge vessel 1 are heated in the region of their ends 101a, 102a to a temperature of approximately 350 degrees Celsius. The 25 heated limbs 101, 102 are inserted, from the outside of the cap 21, into the corresponding openings 210 in the cap. The contact with the hot glass walls of the limbs 102 softens and melts the synthetic material and The softened 211. beads forming the 30 synthetic material is partially displaced by the limbs 101, 102, penetrating into the openings 210, of the Ushaped glass tube 10. As a result, the cutouts 210 are widened in the region of the beads 211 such that their diameter corresponds to the outside diameter of the 35 102. After being permitted to set, the 101, displaced polymer melt forms a ring-shaped collar 212 which surrounds the limbs 101, 102 in a self-closed fashion. The limbs 101, 102 of the U-shaped glass tubes

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10 are inserted so deeply into the cutouts 210 that the indentations 103, 104 are wetted by the polymer melt and are embedded in the set synthetic of the beads 211 or the collars 212 after setting of the polymer melt. The mounting of the mounting plate 3 and of the components 4 of the ballast in the lower part 20, and the fitting of the cap shell 22 and its making contact with the supply leads 23, 24 are performed in the usual, known way. After the mounting of the mounting plate 3 and the components 4 of the ballast, structural unit composed of the cap 21 and

discharge vessel 1 is connected to the lower part 20.

limited to the exemplary not invention is embodiment explained in more detail above. For example, instead of the stepwise constricted cutouts 210, the cap 21 can also have conically constricted cutouts. 211, by beads realized of being Instead constriction of the cutouts 210 can also be realized with the aid of webs 211' or knobs (Figure 4) which extend radially into the respective bushing 210. Upon insertion of the heated sections of the discharge vessel 1, these webs 211' or knobs are melted and the polymer melt is displaced by the penetrating discharge vessel 1. After the setting of the polymer melt, the 25 discharge vessel 1 is sealed in the cap 21. It may be mentioned further at this juncture that a fused bond of achieved even without is sufficient strength indentations 103, 104. The indentations 103, 104 are required only when an exceptionally high strength is 30 desired for the connection between the discharge vessel and cap.

The capping method according to the invention is not limited to compact fluorescent lamps, but can, example, also be applied to tubular fluorescent lamps, which are fitted with thermoplastic synthetic cap parts at their two ends, and to incandescent lamps whose caps have thermoplastic synthetic parts.

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Patent Claims

- 1. Method for capping an electric lamp which has a lamp cap (2) provided with a thermoplastic synthetic cap part (21), at least one lamp vessel (1) and at least one illuminating means arranged in the at least one lamp vessel (1), the at least one lamp vessel (1) being sealed in the synthetic cap part (21) during the method, characterized in that the following method steps are carried out for the purpose of sealing the at least one lamp vessel (1) in the synthetic cap part (21):
 - the synthetic cap part (21) is fitted with at least one holder (210) for fastening the at least one lamp vessel (1) in the synthetic cap part (21), the dimensions of which holder are smaller than the corresponding external dimensions of a section (101, 102), to be fastened in the at least one holder (210), of the at least one lamp vessel (1),
 - at least the section or sections (101, 102) of the at least one lamp vessel (1) is/are heated to a point which is at least as high as the softening point of the thermoplastic synthetic cap part material and less than the melting point of the lamp vessel material,
 - the heated section or sections (101, 102) of the at least one lamp vessel (1) is/are inserted into the at least one holder (210), the synthetic material of the synthetic cap part (21) being softened in the region of the at least one holder (210) by contact with the heated section or sections (101, 102) of the at least one lamp vessel (1), and being displaced by the at least one lamp vessel (1), and
 - the softened synthetic material is allowed to set.

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- 2. Method according to Claim 1, characterized in that the section or sections (101, 102) of the at least one lamp vessel (1) is/are heated to a point which is at least as high as the melting point of the thermoplastic synthetic cap part material.
- 3. Method according to Claim 1, characterized in that before the heated section or sections (101, 102) or the at least one lamp vessel (1) is/are inserted into the at least one holder (210), the synthetic cap part (21) is preheated to a point which is above room temperature and below the softening point of the synthetic cap part material.
- Method according to Claim 1, characterized in that the softened synthetic material is cooled to allow it to set.
- 20 5. Method according to Claim 4, characterized in that the cooling is performed by means of an air flow.
- 6. Method according to Claim 1, characterized in that the displaced and solidified synthetic material forms a collar (212) in the form of a ring around the at least one lamp vessel (1).
- 7. Method according to Claim 1, characterized in that the at least one lamp vessel (1) is provided with at least one indentation (103, 104), and the heated section or sections (101, 102) of the at least one lamp vessel (1) is/are inserted into the at least one holder (210) in such a way that at least one indentation (103, 104) is enclosed in the synthetic material after the softened synthetic material has been allowed to set.
 - 8. Method according to Claim 1, characterized in that

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- the at least one lamp vessel (1) comprises at least one U-shaped tube (10), and the section or sections is/are the limbs (101, 102) of the at least one U-shaped tube (10),
- 5 the at least one holder (210) is formed as a depression or cutout in the synthetic cap part (21), and
 - the diameter of the depression or of the cutout (210) is smaller than the outside diameter of the limbs (101, 102) of the at least one U-shaped tube (10).
- 9. Method according to Claim 1, characterized in that the synthetic cap part (21) is formed as a cap of a pot-type lamp cap (2).
 - 10. Use of the method in accordance with one or more of the preceding claims for the purpose of capping a fluorescent lamp.
- 11. Fluorescent lamp having a lamp cap (2) and a discharge vessel (1) which has at least one U-shaped glass tube (10), a permanent connection existing between the discharge vessel (1) and the lamp cap (2), characterized in that the lamp cap (2) has at least one thermoplastic synthetic cap part (21) and the permanent connection is a fused bond between the thermoplastic synthetic cap part (21) and the limbs (101, 102) of the at least one U-shaped glass tube (10).
- 12. Fluorescent lamp according to Claim 11, characterized in that the thermoplastic synthetic cap part (21) is designed as a cap through which the limbs (101, 102) of the at least one U-shaped glass tube (10) are led, and which has collars (212) which are ring-shaped on the inside and surround the limbs (101, 102) of the at least one U-shaped glass tube (10) in a self-closed fashion.

1 of 3

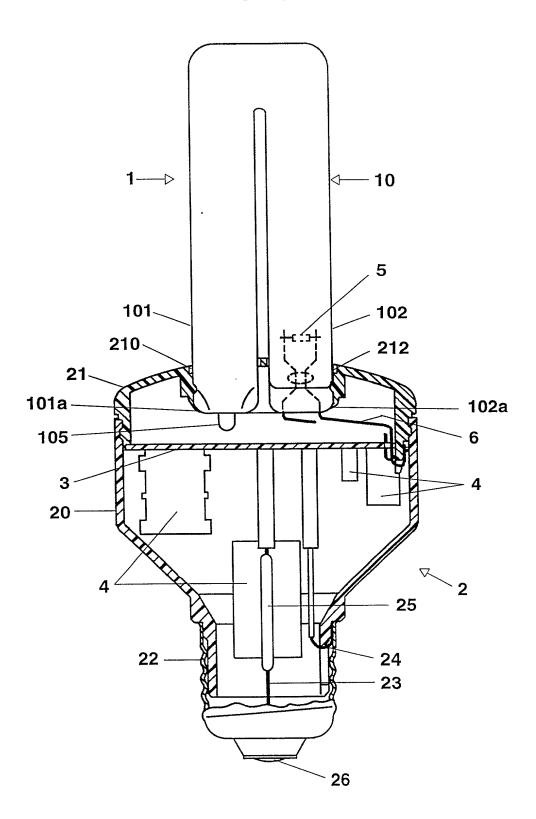
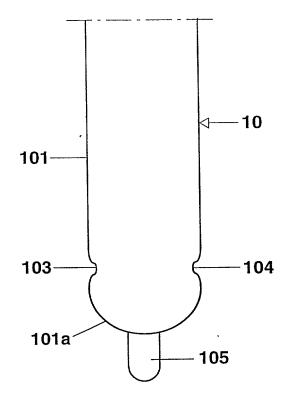


FIG. 1

2 of 3



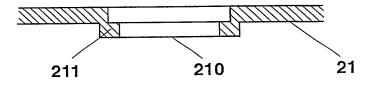
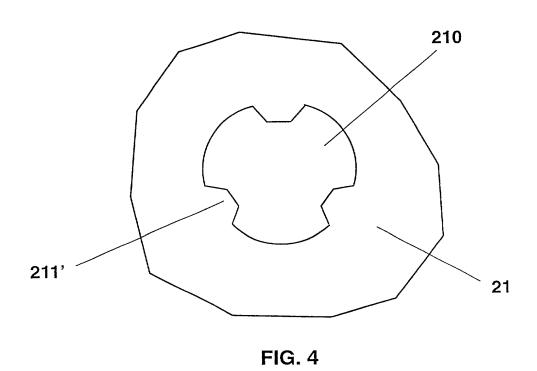


FIG. 2



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invent	believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint needs of the subject matter which is claimed and for which a patent is sought in the invention entitled:							
	Method for capp	ing an electric lamp						
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I her for p other for p than	accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application for patent or inventor's certificate or of any PCT international application(s) designating at least one count other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country than the United States of America filed by me on the same subject matter having a filing date before that application(s) of which priority is claimed:							
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Germany		199 28 419.9	23th June 1999	Yes No				
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				☐ Yes ☐ No				

Page 1 of 2

Combined Declaration for Patent Application and Power of Attorney (Continued)	ATTORNEYS DOCKET NUMBER
(Includes Reference to PCT International Applications)	99 P 5536

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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100	FULL NAME OF INVENTOR	F FAMILY NAME PILHOEFER			Bernd 5			M V EIN INAIVIE		
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	INVENTOR						1			
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that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of										
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